

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Date: May 27, 2004

SPENCER B. DICK , DAVID LEE and DAVID A. MORGAN

Our File - PAI 309B

Serial No.

10/645,865

Filed

August 20, 2003

For

SYSTEMS AND METHODS OF PROCESSING MATERIALS

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Sir:

# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.56, 1.97, and 1.98

Applicants are submitting this Supplemental Information Disclosure Statement pursuant to 37 C.F.R. §§ 1.56, 1.97, and 1.98 to disclose to the U.S. Patent and Trademark Office the patents, publications, applications, and/or other references listed on the enclosed, completed PTO-1449 form(s). The filing of this Information Disclosure Statement should not be construed as a representation that a search has been made or as an admission that the listed references are prior art for this application. Applicants respectfully request that the listed references be expressly considered during prosecution of the application, and that the references be made of record therein and appear among the "references cited" on any patents issuing therefrom.

### **CONTENT OF DISCLOSURE**

This Information Disclosure Statement includes (1) one page of PTO-1449 forms, and (2) a legible copy of each foreign and non-patent reference, if any, listed on the

form(s). However, because this application was filed on or after July 1, 2003, no copies of U.S. patents or published U.S. patent applications are included.

#### TIMING OF DISCLOSURE / FEE INFORMATION

This Information Disclosure Statement is being filed, to the best of the undersigned's knowledge, either (1) before the mailing of a first Office action on the merits, or (2) before the mailing of a first Office action after the filing of a request for continued examination under 37 C.F.R. § 1.114. Moreover, in accordance with 37 C.F.R. § 1.97(e)(1), the undersigned states that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Therefore, in accordance with 37 C.F.R. §§ 1.97(b)(3), (b)(4), or (c)(1), no fee is required.

Please contact the undersigned with any questions or comments regarding this Information Disclosure Statement.

## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450 on May 27, 2004.

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Respectfully submitted,

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FORM PTO-1449				DOCKET NUMBER APPLICATION NUMBER 10/645,865								
INFORMATION DISCLOSURE CITATION IN AN APPLICATION		APPLICANT(S) Spencer B. Dick et al.										
			FILING DATE GROUP ART UNIT August 20, 2003									
U.S. PATENT DOCUMENTS												
EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME			CLA	ss	SU CLA		FIL. DATE IF APPROP.		
	2,852,049	9/16/58	Pe	Peterson								
	5,001,955	3/26/91	Fι	Fujiwara							•	
	5,460,070	10/24/95	Вι	Buskness								
	5,772,192	6/30/98	Н	Hoffmann								
	6,631,006	10/7/03	Dick et al.									
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FOREIGN PATENT DOCUMENTS												
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OTHER DOCUMENTS												
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EXAMINER DATE CONSIDERED												

TRANSMITTAL FORM  (to be used for all correspondence after initial filin  Total Number of Pages in This Submission	Ad Upit	Approved for use through 07/31/2006. OMB 0651-0031 stent and Trademark Office; U.S. DEPARTMENT OF COMMERCE action of information unless it displays a valid OMB control number.  10/645,865  August 20, 2003  Spencer B. Dick  PAI 309B					
ENCLOSURES (Check all that apply)							
Fee Transmittal Form  Fee Attached  Amendment/Reply  After Final  Affidavits/declaration(s)  Extension of Time Request  Express Abandonment Request  Information Disclosure Statement  Certified Copy of Priority Document(s)  Response to Missing Parts/ Incomplete Application  Response to Missing Parts under 37 CFR 1.52 or 1.53	Drawing(s)  Licensing-related Papers  Petition  Petition to Convert to a Provisional Application Power of Attorney, Revocation Change of Correspondence Actorial Disclaimer  Request for Refund  CD, Number of CD(s)  Remarks						
SIGNATU	IRE OF APPLICANT, ATTOR	RNEY, OR AGENT					
Date May 27, 2004  CER  I hereby certify that this correspondence is bein	RTIFICATE OF TRANSMISSIOn of facsimile transmitted to the USPTO ope addressed to: Commissioner for the USPTO op	ON/MAILING  Our deposited with the United States Postal Service with Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on					

This collection of information is required by 37 QFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Date

May 27, 2004